

FORM PTO-1449/A and B (modified PTO/SB/08)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICATION NO.: 10/811,226

ATTY. DOCKET NO.: C1041.70005US01

FILING DATE: March 26, 2004

CONFIRMATION NO. 0102

APPLICANT: Wagner et al.

GROUP ART UNIT: 1614

EXAMINER: Not Yet Assigned

Sheet 1 of 13

## U.S. PATENT DOCUMENTS

Examiner's Initials #	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or Issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
JZ	A1	4,452,775		Kent	06-05-1984
	A2	5,595,756		Bally et al.	01-21-1997
	A3	5,663,153		Hutcherson et al.	09-02-1997
	A4	5,723,335		Hutcherson et al.	03-03-1998
	A5	5,753,613		Ansell et al.	05-19-1998
	A6	5,780,448		Davis	07-14-1998
	A7	5,785,992		Ansell et al.	07-28-1998
	A8	5,849,719		Carson et al.	12-15-1998
	A9	5,965,542		Wasan et al.	10-12-1999
	A10	5,976,567		Wheeler et al.	11-02-1999
	A11	5,981,501		Wheeler et al.	11-09-1999
	A12	6,004,534		Langer et al.	12-21-1999
	A13	6,027,726		Ansell	02-22-2000
	A14	6,090,791		Sato et al.	07-18-2000
	A15	6,110,745		Zhang et al.	08-29-2000
	A16	6,174,872	B1	Carson et al.	01-16-2001
	A17	6,194,388	B1	Krieg et al.	02-27-2001
	A18	6,207,646	B1	Krieg et al.	03-27-2001
	A19	6,214,806	B1	Krieg et al.	04-10-2001
	A20	6,218,371	B1	Krieg et al.	04-17-2001
	A21	6,221,882		Macfarlane	04-24-2001
	A22	6,239,116	B1	Krieg et al.	05-29-2001
	A23	6,339,068	B1	Krieg et al.	01-15-2002
	A24	6,339,630		Macfarlane	06-04-2002
	A25	6,248,720	B1	Mathiowitz et al.	06-19-2001
	A26	6,406,705	B1	Davis et al.	06-18-2002
	A27	6,426,336	B1	Carson et al.	07-30-2002
	A28	6,429,199	B1	Krieg et al.	08-06-2002
	A29	6,479,504		Macfarlane et al.	11-12-2002
	A30	6,498,148	B1	Raz	12-24-2002
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	A32	6,521,637		Macfarlane	02-18-2003

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FORM PTO-1449/A and B (modified PTO/SB/08)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	2	of	13	APPLICATION NO.: 10/811,226	ATTY. DOCKET NO.: C1041.70005US01
				FILING DATE: March 26, 2004	CONFIRMATION NO.: 1072
				APPLICANT: Wagner et al.	
				GROUP ART UNIT: 1614	EXAMINER: Not Yet Assigned

132/	A33	6,562,798	B1	Schwartz	05-13-2003
	A34	6,589,940	B1	Raz et al.	07-08-2003
	A35	6,610,308		Haensler	08-26-2003
	A36	6,610,661	B1	Carson et al.	08-26-2003
	A37	6,630,455	B1	Mitchell	10-07-2003
	A38	6,653,292	B1	Krieg et al.	11-25-2003
	A39	6,693,086	B1	Dow et al.	02-17-2004
	A40	6,727,230	B1	Hutcherson et al.	04-27-2004
	A41	6,821,957	B1	Krieg et al.	11-23-2004
	A42	6,835,395	B1	Semple et al.	12-28-2004
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	A44	6,949,520		Hartmann et al.	09-27-2005
	A45	6,951,845		Carson et al.	10-04-2005
	A46	7,001,890		Wagner et al.	02-26-2006
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	A48	2002-0086839	A1	Raz et al.	07-04-2002
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	A54	2003-0050261	A1	Krieg et al.	03-13-2003
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	A56	2003-0059773	A1	Van Nest et al.	03-27-2003
	A57	2003-0078223	A1	Raz et al.	04-24-2003
	A58	2003-0091599	A1	Davis et al.	05-15-2003
	A59	2003-0092663	A1	Raz et al.	05-15-2003
	A60	2003-0100527	A1	Krieg et al.	05-29-2003
	A61	2003-0104044	A1	Semple et al.	06-05-2003
	A62	2003-0109469	A1	Carson et al.	06-12-2003
	A63	2003-0119774	A1	Foldvari et al.	06-26-2003
	A64	2003-0119773	A1	Raz et al.	06-26-2003
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	A66	2003-0133988	A1	Fearon et al.	07-17-2003
	A67	2003-0139364	A1	Krieg et al.	07-24-2003
	A68	2003-0148316	A1	Lipford et al.	08-07-2003

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FILING DATE:	March 26, 2004	CONFIRMATION NO.:	1072
APPLICANT:	Wagner et al.		
GROUP ART UNIT:	1614	EXAMINER:	Not Yet Assigned

Sheet 3 of 13

/JZ/	A69	2003-0148976	A1	Krieg et al.	08-07-2003
	A70	2003-0165478	A1	Sokoll et al.	09-04-2003
	A71	2003-0175731	A1	Fearon et al.	09-18-2003
	A72	2003-0181406	A1	Schetter et al.	09-25-2003
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	A75	2003-0199466	A1	Fearon et al.	10-23-2003
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	A81	2003-0232856	A1	Macfarlane	12-18-2003
	A82	2004-0006010	A1	Carson et al.	01-08-2004
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	A89	2004-0053880	A1	Krieg	03-18-2004
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GROUP ART UNIT: 1614

EXAMINER: Not Yet Assigned

Sheet 4 of 13

/JZ/	A105	2004-0152656	A1	Krieg et al.	08-05-2004
	A106	2004-0152657	A1	Krieg et al.	08-05-2004
	A107	2004-0157791	A1	Dow et al.	08-12-2004
	A108	2004-0162258	A1	Krieg et al.	08-19-2004
	A109	2004-0162262	A1	Krieg et al.	08-19-2004
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	A131	2005-0032736	A1	Krieg et al.	02-10-2005
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	A133	2005-0037985	A1	Krieg et al.	02-17-2005
	A134	2005-0043529	A1	Davis et al.	02-24-2005
	A135	2005-0049215	A1	Krieg et al.	03-03-2005
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GROUP ART UNIT: 1614

EXAMINER: Not Yet Assigned

Sheet 5 of 13

/JZ/	A141	2005-0064401	A1	Olek et al.	03-24-2005
	A142	2005-0070491	A1	Krieg et al.	03-31-2005
	A143	2005-0075302	A1	Hutcherson et al.	04-07-2005
	A144	2005-0176672	A1	Scheule et al.	08-11-2005
	A145	2005-0191342	A1	Tam et al.	09-01-2005
	A146	2005-0100983	A1	Bauer et al.	05-12-2005
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	A149	2005-0119273	A1	Lipford et al.	06-02-2005
	A150	2005-0123523	A1	Krieg et al.	06-09-2005
	A151	2005-0130911	A1	Uhlmann et al.	06-16-2005
	A152	2005-0148537	A1	Krieg et al.	07-07-2005
	A153	2005-0169888	A1	Hartman et al.	08-04-2005
	A154	2005-0171047	A1	Krieg et al.	08-04-2005
	A155	2005-0181422	A1	Bauer et al.	08-18-2005
	A156	2005-0182017	A1	Krieg	08-18-2005
	A157	2005-0197314	A1	Krieg et al.	09-08-2005
	A158	2005-0209184	A1	Klinman et al.	09-22-2005
	A159	2005-0214355		Klinman et al.	09-29-2005
	A160	2005-0215500	A1	Krieg et al.	09-29-2005
	A161	2005-0215501	A1	Lipford et al.	09-29-2005
	A162	2005-0233995	A1	Krieg et al.	10-20-2005
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	A165	2005-0239733	A1	Jurk et al.	10-27-2005
	A166	2005-0239734	A1	Uhlmann et al.	10-27-2005
	A167	2005-0239736	A1	Krieg et al.	10-27-2005
	A168	2005-0245477	A1	Krieg et al.	11-03-2005
	A169	2005-0244379	A1	Krieg et al.	11-03-2005
	A170	2005-0244380	A1	Krieg et al.	11-03-2005
	A171	2005-0249794	A1	Semple et al.	11-10-2005
	A172	2005-0250726	A1	Krieg et al.	11-10-2005
	A173	2005-0256073	A1	Lipford et al.	11-17-2005
	A174	2005-0267057	A1	Krieg	12-01-2005
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GROUP ART UNIT:	1614	EXAMINER:	Not Yet Assigned

Sheet 6 of 13

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	A178	2006-0003955	A1	Krieg et al.	01-05-2006
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## **FOREIGN PATENT DOCUMENTS**

Examiner's Initials *	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
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132/	B1	EP	0 302 758	A1	New England Medical Center Hospitals,	02-08-1989	
	B2	EP	0 468 520	A2	Mitsui Toatsu Chemicals, Inc.	01-29-1992	
	B3	WO	95/24929	A2	Brown University Research Foundation	09-21-1995	
	B4	WO	96/02555	A1	University of Iowa Research Foundation	02-01-1996	
	B5	WO	97/03702	A1	Brown University Research Foundation	02-06-1997	
	B6	WO	97/30731	A3	The Immune Response Corporation	08-28-1997	
	B7	WO	97/42975	A2	Genemedicine Inc.	11-20-1997	
	B8	WO	98/29557	A1	Biovector Therapeutics	07-09-1998	Y-Abstract
	B9	WO	98/51278	A2	INEX Pharmaceuticals Corp.	11-19-1998	
	B10	WO	99/30686	A1	INEX Pharmaceuticals Corporation	06-24-1999	
	B11	WO	99/33493	A1	INEX Pharmaceuticals Corporation	07-08-1999	
	B12	WO	99/55743	A1	INEX Pharmaceuticals Corporation	11-04-1999	
	B13	WO	99/56755	A1	University of Iowa Research Foundation	11-11-1999	
	B14	WO	00/03683	A2	INEX Pharmaceuticals Corporation	01-27-2000	
	B15	WO	00/06588	A1	University of Iowa Research Foundation	02-10-2000	
	B16	WO	00/15256	A2	Pasteur Merieux Serums Et Vaccins [FR]	03-23-2000	Y-Abstract
	B17	WO	00/20039	A1	The Regents of the University of	04-13-2000	

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/JZ/	B18	WO	00/45849	A2	Genzyme Corporation	08-10-2000	
	B19	WO	00/46365	A1	Virginia Commonwealth University	08-10-2000	
	B20	WO	01/35991	A2	Dynavax Technologies Corporation	05-25-2001	
	B21	WO	01/68143	A2	Dynavax Technologies Corporation	09-20-2001	
	B22	WO	01/68144	A2	Dynavax Technologies Corporation	09-20-2001	
	B23	WO	02/28428	A2	Aventis Pasteur [FR]	04-11-2002	Y-Abstract
	B24	WO	03/000232	A2	Dynavax Technologies Corporation	01-03-2003	
	B25	WO	03/015816	A1	Dynavax Technologies Corporation	02-27-2003	
	B26	WO	03/026688	A1	Pharmadern Laboratories, Ltd.	04-03-2003	
	B27	WO	03/066649	A1	Biomira Inc.	08-14-2003	
	B28	WO	2004/007743	A2	Coley Pharmaceutical GmbH	01-22-2004	
	B29	WO	2004/014322	A2	Dynavax Technologies Corp.	02-19-2004	
	B30	WO	2004/026888	A2	Coley Pharmaceutical GmbH	04-01-2004	
	B31	WO	2004/058159	A2	Dynavax Technologies Corp.	07-15-2004	
	B32	WO	2004/058179	A2	Dynavax Technologies Corp.	07-15-2004	
	B33	WO	2004/094671	A2	Coley Pharmaceutical GmbH	11-04-2004	

## OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
/JZ/	C1	AGRAWAL et al., Pharmacokinetics of antisense oligonucleotides. Clin Pharmacokinet. 1995 Jan;28(1):7-16.	
	C2	AGRAWAL et al., Chapter 19: Pharmacokinetics and bioavailability of antisense oligonucleotides following oral and colorectal administrations in experimental animals. p255-43.	
/JZ/	C3	AGRAWAL et al., Antisense oligonucleotides: towards clinical trials. Trends in Biotechnology, 1996; 14: 376-87.	
	C4	ALPAR et al., Potential of particulate carriers for the mucosal delivery of DNA vaccines. Biochem Soc Trans. 1997 May;25(2):337S.	
	C5	ANITESCU et al., Interleukin-10 functions in vitro and in vivo to inhibit bacterial DNA-induced secretion of interleukin-12. J Interferon Cytokine Res. 1997 Dec;17(12):781-8.	
	C6	BALLAS et al., Induction of NK activity in murine and human cells by CpG motifs in oligodeoxynucleotides and bacterial DNA. J Immunol. 1996 Sep 1;157(5):1840-5.	
	C7	BOGGS et al., Characterization and modulation of immune stimulation by modified oligonucleotides. Antisense Nucleic Acid Drug Dev. 1997 Oct;7(5):461-71.	
	C8	BOWERSOCK et al., Evaluation of an orally administered vaccine, using hydrogels containing bacterial exotoxins of Pasteurella haemolytica, in cattle. Am J Vet Res. 1994 Apr;55(4):502-9.	
	C9	CARPENTIER et al., Successful treatment of intracranial gliomas in rat by oligodeoxynucleotides containing CpG motifs. Clin Cancer Res. 2000 Jun;6(6):2469-73.	

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				GROUP ART UNIT: 1614		EXAMINER: Not Yet Assigned	
Sheet	8	of	13				

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FILING DATE:	March 26, 2004	CONFIRMATION NO.:	1072
APPLICANT:	Wagner et al.		
GROUP ART UNIT:	1614	EXAMINER:	Not Yet Assigned

Sheet	9	of	13
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FILING DATE: March 26, 2004 CONFIRMATION NO.: 1072

APPLICANT: Wagner et al.

GROUP ART UNIT: 1614 EXAMINER: Not Yet Assigned

Sheet 11 of 13

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